PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/690 243 TRANSMITTAL Filing Date October 20, 2003 First Named Inventor **FORM** Michael Frederick Kenrich Art Unit 2131 Examiner Name Unknown (to be used for all correspondence after initial filing) Attorney Docket Number PA2940US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): 1) Confirmation Postcard; Request for Refund **Express Abandonment Request** 2) Statement under 37 CFR 3.73(b) CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Total number of pages does not include postcard. Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Carr & Ferrell LLP Cust. No. 22830 Signature Printed name Susan Yee Date Reg. No. February 10, 2005 41,388 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

February 10, 2005

Susan Yee, Ree, No. 41,388

Typed or printed name



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSIGNEE:

PSS Systems, Inc.

TITLE:

See Schedule A

U.S. APPL. NO.:

See Schedule A

**FILING DATE:** 

See Schedule A

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## **REVOCATION AND POWER OF ATTORNEY**

I, the undersigned, **Deidre Paknad**, President and Chief Executive Officer for **PSS Systems Inc.**, the assignee of the entire right, title and interest in the United States patent applications and patents referenced in Schedule A, am authorized to act and sign on behalf of the assignee and hereby revoke all prior powers of attorney previously submitted in the U.S. patent applications and patents referenced in Schedule A. I hereby appoint the agents and attorneys associated with **Customer Number 22830** to prosecute these applications and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please direct all communication relative to these applications to the following correspondence address:

CARR & FERRELL LLP 2200 Geng Road Palo Alto, CA 94303

TEL: 650.812.3400 FAX: 650.812.3444

Respectfully submitted,

Date: \_[

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Deidre Paknad

**President and Chief Executive Officer** 

PSS Systems, Inc.



## Schedule A

Our Ref	Title	Application No.	Filed/	Assignment	Assignment Made To:
		/ Patent No.	Issued	Reel/Frame	
PA2899119	Multi-Route Client-Server Architecture	09/425,382	10/22/1999	011695/0080	NetI;
20//07/11		6.633.901	10/14/2003	012653/0350	then to SecretSEAL Inc.,
				013515/0565	then to Pervasive Security
					Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA2900US	Method and Architecture for Providing	10/076,254	2/12/2002	013905/0194	PSS Systems, Inc.
	Pervasive Security to Digital Assets				
PA2901US	Managing Secured Files in Designated	10/201,756	7/22/2002	CON of	Refer to 10/076,254.
	Locations			10/076,254; no	
				separate	
				assignment	
PA 290211S	System and Method for Providing	10/076.181	2/12/2002	012593/0248	SecretSEAL Inc., then to
1 742/02/02	Distributed Access Control to Secured			015539/0022	Pervasive Security
	Items				Systems, Inc.,
		-	٠	015530/0673	then to PSS Systems, Inc.
DA 200311S	System and Method for Providing	10/075.194	2/12/2002	012592/0434	SecretSEAL Inc., then to
1 72/02/03	Multi-Location Access Management to			015539/0022	Pervasive Security
	Secured Items				Systems, Inc.,
		-		015530/0673	then to PSS Systems, Inc.
PA290411S	Secured Data Format for Access	10/074,804	2/12/2002	012623/0795	SecretSEAL Inc., then to
	Control			015539/0022	Pervasive Security
				-	Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.

Our Ref.	Tiffe	Application No.	Filed/	Assignment	Assignment Made To:
· · · · · · · · · · · · · · · · · · ·		/ Patent No.	Issued	Reel/Frame	The state of the s
PA2905US	Method and Apparatus for Securing	10/074,996	02/12/2002	012612/0075	SecretSEAL Inc., then to
	Electronic Data			015539/0022	Pervasive Security
					Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA290611S	Method and Apparatus for Accessing	10/074,825	02/12/2002	012617/0190	SecretSEAL Inc., then to
	Secured Electronic Data Off-line	-		015539/0022	Pervasive Security
		•			Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA290711S	Method and System for Restricting Use	10/028,397	12/21/2002	012403/0941	SecretSEAL Inc., then to
	of a Clipboard Application			01:5539/0022	Pervasive Security
	•				Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA2908US	System and Method for Providing	10/105,532	3/20/2002	013935/0224	PSS Systems, Inc.
	Different Levels of Key Security for				
	Controlling Access to Secured Items	-			
PA2909US	Dynamic Evaluation of Access Rights	10/127,109	4/22/2002	012968/0789	Pervasive Security
				•	Systems, Inc., then to
				015530/0673	PSS Systems, Inc.
PA2910US	Method and System for Providing	10/132,712	4/26/2002	013905/0143	PSS Systems, Inc.
	Manageability to Security Information				
	Ior Secured Items	707 700/01	2000/30/2	CONOC	Refer to 10/132 712
PA2911US	System and Method for Providing	10/206,486	7007/57//	10/177 717	Netes to 10/152,712:
	Manageability to Security Information for Secured Items			10/132,712	
PA291211S	System and Method for Imposing	10/146,207	5/14/2002	013930/0469	PSS Systems, Inc.
	Security on Copies of Secured Items				
PA2913US	Indications of Secured Digital Assets	10/124,179	4/17/2002	012968/0824	Pervasive Security
•					Systems, Inc., then to
				015530/0673	PSS Systems, Inc.

Our Ref.	Title	Application No.	Filed/	Assignment	Assignment Made To:
		/ Patent No.	Issued	Reel/Frame	
PA2914US	Method and Apparatus for Securing	10/159,537	5/31/2002	012975/0878	Pervasive Security
	Digital Assets				Systems, Inc., then to
		-		014967/0825	PSS Systems, Inc.
PA291511S	Method and Architecture for Providing	10/286,575	11/1/2002	013508/0620	Pervasive Security
	Access to Secured Data From Non-				Systems, Inc., then to
	secured Clients			014967/0825	PSS Systems, Inc.
PA291611S	Method and System for Protecting	10/159,220	5/31/2002	012961/0718	Pervasive Security
	Electronic Data in Enterprise				Systems, Inc., then to
	Environment		•	014967/0825	PSS Systems, Inc.
PA291711S	Effectuating Access Policy Changes to	10/259,075	9/27/2002	013357/0157	Pervasive Security
	Designated Places for Secured Files				Systems, Inc., then to
	)			014967/0825	PSS Systems, Inc.
PA291811S	Method and System for Implementing	10/186,203	6/26/2002	013206/0113	Pervasive Security
	Changes to Security Policies in a				Systems, Inc., then to
	Distributed Security System	•		014967/0825	PSS Systems, Inc.
PA791911S	Method and System for Updating Keys	10/206,737	7/26/2002	013162/0122	Pervasive Security
	in a Distributed Security System		•		Systems, Inc., then to
			.	014967/0825	PSS Systems, Inc.
PA292011S	Security System for Generating Keys	10/246,079	9/17/2002	013304/0243	Pervasive Security
	from Access Rules in a Decentralized		•		Systems, Inc., then to
	Manner and Methods Therefor			014967/0825	PSS Systems, Inc.
PA2921US	Hybrid Systems for Securing Digital	10/325,013	12/20/2002	013709/0017	PSS Systems, Inc.
PA2922US	Method and Apparatus for	10/325,102	12/20/2002	013710/0147	PSS Systems, Inc.
	Securing/Unsecuring Files by File				
PA29231JS	Guaranteed Delivery of Changes to	10/186,204	6/26/2002	013218/0644	Pervasive Security
	Security Policies in a Distributed	•			Systems, Inc., then to
	System			013959/0467	PSS Systems, Inc.

Our Ref.	Title	Application No.	Filed/	Assignment	Assignment Made To:
		/ Patent No.	Issued	Reel/Frame	
PA2924US	Managing File Access Via a Designated	10/259,078	9/27/2002	013918/0638	PSS Systems, Inc.
	riace		0000000	7300/07210	Demicaino Countify
PA2925US	Document Security System that Permits	10/262,218	7007/05/6	013349/0037	relvasive occurity
	External Users to Gain Access to				Systems, Inc., then to
•	Secured Files			013959/0467	PSS Systems, Inc.
D17076119	Method and System for Protecting	10/242.185	9/11/2002	013289/0204	Pervasive Security
E 47272003	Encrypted Files Transmitted Over a				Systems, Inc., then to
	Network			015530/0673	PSS Systems, Inc.
PA792711S	Security System that Uses Indirect	10/286,524	11/1/2002	013451/0961	Pervasive Security
	Password-Based Encryption				Systems, Inc., then to
		• •		015530/0673	PSS Systems, Inc.
PA292811S	Security System Using Indirect Key	10/295,363	11/15/2002	013710/0139	Pervasive Security
	Generation from Access Rules and				Systems, Inc., then to
	Methods Therefor			015530/0673	PSS Systems, Inc.
PA2929US	Security System with Staging	10/327,320	12/20/2002	013710/0129	PSS Systems, Inc.
DA 20201 IS	Multi-I evel Cryntographic	10/404 566	3/31/2003	Assignment	PSS Systems, Inc.
F A233003	Transformations for Securing Digital			signed	
	Assets			3/28/2003 and	
				filed with	
			<del>.</del>	application; no	
				reel/frame	
				information	
PA2931US	Method and Apparatus for Uniquely	10/368,277	2/18/2003	014477/0634	PSS Systems, Inc.
	Identifying Files				
PA2932US	Method and System for Securing	10/405,587	4/1/2003	None found	
	Digital Assets Using Content Type				
	Ucalguationa				

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Our Kei.	Title	Application 1vo.	L'incu/	Passignment Deal/Frame		_
		/ Patent No.	Issued	Keel/Frame		
PA2933US	Method and System for Managing	10/445,657	5/27/2003	014124/0642	PSS Systems, Inc.	
	Security Tiers			00100100100	J. J	_
PA2934US	Method and System for Using Remote	10/448,806	5/30/2003	014134/01/0	PSS Systems, Inc.	
	Headers to Secure Electronic Files			201010110	DOO 0t	_
PA2935US	Method and System for Enabling Users	10/610,832	6/30/2003	0142/0/0103	PSS Systems, Inc.	
	of a Group Shared Across Multiple File					
	Security Systems to Access Secured					
-	Files				1 . 0 000	_
PA2936US	Method and System for Fault-Tolerant	10/642,041	8/15/2003	014408/0470	PSS Systems, Inc.	
	Transfer of Files Across a Network					
PA2937US	Method and System for Securing	10/677,049	9/30/2003	014575/0301	PSS Systems, Inc.	
	Digital Assets Using Process-Driven					
	Security Policies					1
PA2938US	Method and Apparatus for	10/676,474	9/30/2003	014570/0346.	PSS Systems, Inc.	_
	Transitioning Between States of					
	Security Policies Used to Secure					
	Electronic Documents					_
PA2939US	Method and System for Securing	10/676,850	9/30/2003	014367/0687	PSS Systems, Inc.	
	Digital Assets Using Time-Based					
	Security Criteria				0.004	Т
PA2940US	Method and System for Proxy Approval	10/690,243	10/20/2003	014625/0024	PSS Systems, Inc.	
	of Security Changes for a File Security					
	System			2000,000,00	1.000	Т
PA2941US	Method and System for Distribution of	10/742,710	12/19/2003	014833/0983	rss systems, inc.	
	Notifications in File Security Systems					Т
PA2942US	Method and System for Validating	10/745,183	12/22/2003	014853/0855	PSS Systems, Inc.	
	Timestamps					Т
PA2943US	Method and System for Providing	10/815,251	3/30/2004	015180/0354	FSS Systems, Inc.	
	Document Retention Using					
	Cryptography					٦

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Our Ref.	Title	Application No.	Filed/	Assignment	Assignment Made 10:
		/ Patent No.	Issued	Reel/Frame	
PA2944US	Method and System for Providing	10/815,229	3/30/2004	015180/0533	PSS Systems, Inc.
	Cryptographic Document Retention				
	with Off-Line Access				\$ 5 E
PA2945US	Multi-Level File Digests	10/894,493	7/19/2004	Assignment	PSS Systems, Inc.
				signed 7/7/2004	

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## STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: PSS Systems, Inc. Application No./Patent No.: 10/690,243 Filed/Issue Date: 10/20/2003 Entitled: Method and System for Proxy Approval of Security Changes for a File Security System PSS Systems, Inc. corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: 1. 🕡 the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is\_\_\_\_ in the patent application/patent identified above by virtue of either: A. I An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014625 , Frame 0024 , or for which a copy thereof is attached. B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown 1. From: To: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. 2. From: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. 3. From: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. □ Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO: See MPEP 302.081 e is supplied below) is authorized to act on behalf of the assignee. The undersigned (whose Signature Deidre Paknad (650) 496-2300 Printed or Typed Name Telephone Number President and CEO Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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